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INFORMATION DISCLOSURE  
STATEMENT  
Patent Application  
Docket No. M12C1FDF3D2

  
Jeff Lloyd, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned  
Art Unit : Not yet assigned  
Applicant(s) : David L. Edwards, Corinna Herrnsstadt, Edward R. Wilcox, Siu-Yin Wong  
Serial No. : Not yet assigned  
Filed : December 27, 2001  
For : Process for Altering the Host Range of *Bacillus thuringiensis* Toxins, and Novel Toxins Produced Thereby

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §1.97 and §1.98(d), Applicants would like to bring to the attention of the Examiner the references cited in the following patent applications:

U.S. Serial No. 09/405,788, filed September 27, 1999;  
U.S. Serial No. 08/855,160, filed May 13, 1997 now U. S. Patent 6,090,931;  
U. S. Serial No. 08/580,781, filed December 29, 1995 now abandoned;  
U. S. Serial No. 08/420,615, filed April 10, 1995 now abandoned;  
U. S. Serial No. 08/097,808, filed July 27, 1993 now abandoned;  
U.S. Serial No. 07/980,128, filed November 23, 1992 now abandoned;  
U.S. Serial No. 07/803,920, filed December 6, 1991 now abandoned;  
U.S. Serial No. 07/356,599, filed May 24, 1989 now abandoned;  
U.S. Serial No. 06/904,572, filed September 5, 1986 now abandoned; and  
U.S. Serial No. 06/808,129, filed December 12, 1985 now abandoned

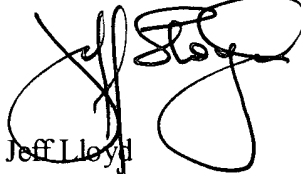
JC714 U.S. PRO  
10/035060  
12/27/01

The subject application claims the benefit under 35 U.S.C. §120 of the filing date of U. S. Serial No. 09/405,788 referred to above. Applicants respectfully request that copies of references supplied in the Information Disclosure Statement of that application as well as the other above-noted ancestral applications be made of record in the subject application. As copies of the references filed in the grandparent application and related ancestral applications and cited on the attached form PTO-1449 will be found in the case files, copies of those references are not provided herewith.

It is respectfully requested that these references be considered in the examination of the subject application and that their consideration be made of record.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jeff Lloyd", is written over the printed name.

Jeff Lloyd  
Patent Attorney  
Registration No. 35,589  
Phone No.: 407-426-7500  
Address : 2421 N.W. 41st Street, Suite A-1  
Gainesville, FL 32606

JL/gm

Attachment: Form PTO-1449

Form PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
M12.C1F3D1SERIAL NO.  
08/097,808INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)APPLICANT  
David L. Edwards et al.FILING DATE  
July 27, 1993GROUP  
1804JCV14 U.S. PTO  
10/035060

12/27/01

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	4	4	8	8	8	5	5/15/84	Schnepf et al.			
	AB	4	4	6	7	0	3	6	8/21/84	Schnepf et al.			
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Schnepf, H. Ernest and H.R. Whiteley (1981) "Cloning and expression of the Bacillus thuringiensis crystal protein gene in Escherichia coli", Proc. Natl. Acad. Sci. 78(5):2893-2897.
	AS	
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.